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<sub>i)</sub> EP 1 297 827 A2

(12)

# **EUROPEAN PATENT APPLICATION**

- (43) Date of publication: 02.04.2003 Sulfetin 2003/14
- (51) Int Ct7: A61K 9/28
- (21) Application number: 02256752.3
- (22) Date of Sling: 27.09.2002
- (84) Designated Contracting States

  AT BE BG CH CY CZ DE DK EE ES FI FR GB GR
  IE IT LI LU MC NL PT SE SK TR

  Designated Extension States:

  AL LT LV MK RO SI
- (30) Priority: 28,09,2001 US 325727 P 21,06,2002 US 176832
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- (54) Film forming composition comprising sucralose and carrageenan
- (57) Water soluble, galatin-free dip costings for substrates comprising a hydrocolloid, such as canagesnan, and sucratose.

### Description

#### PIELO OF THE INVENTION

5 (9001) This invention relates to novel, water soluble, gelatin-free compositions for dip coating substrates, such as tablets and capsules, and methods for producing such tablets and capsules.

#### BACKGROUND OF THE INVENTION

(9002) During most of this century, hard getatin capsules were a popular dosage form for preexciption and over-the-counter (OTC) drugs. The ability to combine capsule hatves having different colors provided manufacturers with a unique means of distinguishing various phermaceutical products. Many patients preferred capsules over labiets, perceiving them as being easier to swellow. This consumer preference prompted pharmaceutical manufacturers to market certain products in capsule form even when they were also available in tablet form.

[9003] Generally, empty hard gelatin capsules are manufactured using automated equipment. This equipment employs rows of stainless steel pine, mounted on bars or plates, which are dipped into a gelatin solution maintained at a uniform temperature and fluidity. The pine are then withdrawn from the gelatin solution, rotated, and then inserted into drying kilns through which a strong bleat of filtered air with controlled humidity is forced. A crude capsule half is thus formed over each pin during drying. Each capsule half is then stripped, trimmed to uniform length. Rilled and joined to an appropriate mating half.

[6064] An alternative to capsule products are caplete, which generally are solid, oblong tablets that are often coated with various polymers such as cellulose ethers to improve their seatherics, stability, and swellowability. Typically, such polymers are applied to the tablets either from solution in organic solvents, or from squeous dispersion via apraying. However, such apray-coated tablets lack the shiny surface and diagence of the hard getatin capsules. Additionally, it is not commercially feasible to apray-coat a tablet with a different color coating on each end.

[0005] Another alternative to capsule products are "gelcaps," which are elegant, shiny, consumer-preferred, dosage forms that are prepared by dipping each half of an elongated tablet in two different colors of gelatin solution. See United States Patent Nos. 4.820,524, 5,538,125; 5,685,589; 5,770,225; 5,198,227; and 5,296,233. A similar dosage form, commercially available as a "geltab," is prepared by dipping each half of a generally round, convex tablet into different colors of gelatin solution, as described in United States Patent Nos. 5,226,916, US6,436,026 and US5,679,406. As used herein, such "delcaps" and "geltabs" shall be included within the broader term, "tablets."

[6006] However, the use of gelatin as a phermaceutical coating material presents certain disadvantages and limitations, including the potential for decreased dissolution rate after extended storage due to cross-linking of the gelatin and potential for microbial contamination of the gelatin solution during processing. Further, the energy-velated costs associated with gelatin coatings tend to be high since the gelatin material is typically applied to the substrates at an elevated temperature of at least about 40°C in order to maintain fluidity of the gelatin, while the substrates are maintained at about 50°C in order to minimize microbial growth.

(9007) Verious attempts have been made to produce getatin-free hard shell capsules. For example, WO 00/18836 discloses the combination of starch ethers or oxidized starch and hydrocolloids for use in preparing herd capsule shells via conventional dip molding processing. See also U.S. Par. No. 4,001,211 (capsules prepared via pin dip coating with thermogetied methylcetkulose ether compositions.). However, due to potential tempering concerns, hard goldlin capsules are no longer a preferred delivery system for consumer (over-the-counter) pharmaceuticals, dietary supplements, or other such products. Additionally, the properties of an ideal composition into which steel pins are to be dipped then dried to form hard capsule shells thereon are not necessarily the same as those for dipping tablets to form a coating thereon. For example, relevant physical properties such as viscosity, weight-gain, film thickness, tensite strength, slassicity, and moisture content will differ between compositions for hard capsule formation and for coating tablets. See e.g., U.S. Pat. No. 1,787,777 (Optimal temperatures of the substrate and coating solution, residence times in the solution, and drying conditions differ.)

[9008] One disadvantage associated with dipping tablets or capsules into a non-getalin coating system is that resulting coatings often tack adequate physical properties, e.g., tensile strength, plasticity, transfers, and thickness. Although the inclusion of plasticizers thereto may improve the plasticity properties of the coatings, such non-getalin coating systems often disadvantageously result in tablets having soft, tacky coatings without a hardness sufficient to maintain their shape or smoothness during handling, in addition, many non-getatin compositions do not adhere to the tablet substrate in an amount sufficient to uniformly cover the tablet after a single dipping. Further, many non-getatin compositions tack the sufficient rheological properties necessary to maintain uniform color dispersion throughout the dipping and drying process. Attempts have been made to improve the meological properties of these compositions by for example, increasing their solids content in order to increase viscosity. However, such compositions often disadvantageously resulted in undesirable coating aesthetics such as surface roughness, decreased gloss, and non-uniform

coating thickness.

[9099] Film forming compositions comprising hydrocolloids have been described in WO 00/18635 and WO 99/46329. However, these compositions incorporate 0.01 to 5 percent by weight of the hydrocolloids as a "setting system" in combination with known film-forming polymers such as polyvinyl alcohol, stanch ethers, or exidized stanch.

[0010] One hydrocolloid, carrageenan, has been used in film coatings for pharmaceutical applications. However, carrageenan by itself was considered to be too weak for coating pharmaceutical lablets, and thus was required to be combined with microcrystalline callulose for satisfactory coating results. See WO 00/45794. Not only is the addition of the cellulose to the carrageenan not economically advantageous, but the viscosity of the resulting mixture is also difficult to control. Moreover, the inclusion of the cellulose in such coatings lends to hinder the overall dissolution rate of the coating, which thereby delays the release time of the active contained therein.

[9011] It is desirable to find a dip opering material, which not only produces a similar elegant, shiny, high global consumer-preferred dosage form similar to that of gelatin-coated forms, but which is absent the limitations of gelatin coated forms, but which is absent the limitations of gelatin coated above.

[0012] It is further desirable to find such a coating material suitable for use in dip coating operations, which does not inhibit the dissolution of the active coated therewith.

### SUMMARY OF THE INVENTION

[9013] The present invention provides for a film forming composition comprising, consisting of, and/or consisting essentially of:

- a) carrageenan; and
- b) sucratosa.

5 [0014] We have found that when a dosage form is coated with the composition of the present invention, the result is an elegant, shiny, high gloss, consumer-preferred dosage form similar to that of a gelatin-coated form, but which tacks the limitations associated with gelatin, perticularly those noted above. We have also found that when such a composition is used in dip coating operations, it does not inhibit the dissolution of the active coated therewith.

#### BRIEF DESCRIPTION OF THE DRAWINGS

## [0015]

20

33

3%

40

FIG. 1 A is an enlarged, schematic top plan view of an obiong convex core of a first configuration, the bottom plan view being identical thereto:

FIG. 18 is an entarged, schematic elevational side view of the oblong convex core of FIG. 1A, having a face 15, a "bally band" or side 11, and an edge or corner 12, the opposite elevational side view being identical thereto:
FIG. 2 is an entarged, schematic elevational and view of the oblong convex core of FIGS. 1A and 18, the opposite

FIG. 3 is a perspective view of an exemplary tablet 404 of the present invention having a first coating portion 412 of one visual distinction and a second coating portion 413 having a second visual distinction.

# DETAILED DESCRIPTION OF THE INVENTION

elevational and view being identical thereto:

[0016] As used herein, "capsules" refer to hard or soft shell compartments that enclose a docable ingredient. "Tablets," as used herein, refer to pompressed or molded solid docage forms of any shape or size. "Caplets," as used herein, refer to solid obtong shaped tablets. "Gelcaps" refer to solid caplets having a glossy gelatinous coating, and "geltabs" refer to solid tablets having a flat belty-band, or side, convex opposing faces, and a glossy gelatinous coating. "Hardness" as used herein in connection with films or coatings indicates the resistance of the film/coating to deformation upon impact. "Water soluble" or "water solublize," as used herein in connection with non-polymeric materials, shall mean from sparingly soluble to very soluble, i.e., not more than 100 parts water required to dissolve 1 part of the non-polyment, water soluble solute. See Remington, "The Science and Practice of Pharmacy," pages 208 - 209 (2000). "Water soluble" or "water solublize," as used herein in connection with polymeric materials, shall mean that the polymer swells in water and can be dispersed at the molecular level to form a homogeneous dispersion or colloidal "solution." ("Surface gloss", as used herein, shall mean a measure of reflected light, as determined by the method set forth in detail in example 8 herein.

[9617] Dimethicone is a well known pharmaceutical material consisting of linear siloxane polymers containing repealing units of the formula  $\{-(CH_2)_2S(C)_n$  stabilized with trimethylolloxy and blocking units of the formula  $\{(CH_2)_2S(C)_n\}$ 

Simultations is the mixture of dimethicane and allicon dioxide. For the purposes of this invention, the two materials may be used interchangably.

[8015] The first embodiment of this invention is directed to water soluble, substantially getatin-free, film forming compositions. One composition comprises, consists of, antifor consists essentially of a hydrocolloid, such as carragenan, and sucratose. As used herein, "substantially getatin-free" shall mean less than about 0.1 percent, e.g. less than about 0.01 percent, of animal derived getatin in the composition.

[9019] Any hydrocolloid known in the art is suitable for use in the film forming composition of the present invention. Examples of such hydrocolloids include, but are not limited to, alginates, agar, guar gum, locust bean, carrageenan, tara, gum arabic, trajacanth, peolin, xanman, gettan, mallodextrin, galactomannan, pussiblan, laminarin, soleroglucan, gum arabic, trajac peolin, wheten, rhamsan, zooglan, methylan, chitin, cyclodextrin, chilosan, and derivatives and mixtures thereof, in one empodiment, the hydrocolloid comprises, consists assentially of, and/or consists of at least about 50%, i.e. at least about 75%, or at least about 90% of carrageenan.

[9020] Carrageenanc are polyanocharides that are comprised of repeating galactose units and 3.6-anhydrogalactose units. Examples of carrageenans suitable for use in the present invention include, but are not limited to the naturally derived carrageenans, such as the grades further defined below as lots, kappa, and lambda carrageenan and derivatives thereof. A rich source of lots carrageenan is the seaweed Eucheuma spinosum. The approximate content of sphydrogalactose units in lots carrageenan is, based upon the total weight of lots carrageenan, about 30% whereas kappa carrageenan has, based upon the total weight of kappa carrageenan, about 34% anhydrogalactose units and lembds carrageenan is essentially devoid of these units.

[0821] Carrageonana may also be characterized by the amount of ester sulfate groups that are present on both its galactose and enhydrogalactose units. The ester sulfate content of lots carrageonan may range from based upon a total weight of lots carrageonan, from about 25% to about 34%, e.g. about 32%. This is intermediate between kappa carrageonan, which has about a 25 weight % ester sulfate content, and lambda carrageonan, which has about a 35 weight % ester sulfate content. The sodium sait of lots carrageonan is generally soluble in cold water, but different grades of lots carrageonan may require heating water to different temperatures to solubilize them.

[0822] Metal cetions may be employed in the compositions of the present invention for the purpose of optimizing the getting properties of the carrageenan. Suitable cations include monor, dir, and tri-valent cations. Suitable sources of cations include organic and inorganic satts, which may be used in an amount, based upon the total dry weight of the composition of the present invention, from 0 to about 5 percent, e.g. from about 1 percent to about 4.5 percent. In one embodiment the metal cation may be selected from K+, Na+, Li+, Ca++, Mg++, and mixtures thereof.

[0023] Sucraiose, which is also known as 4.1,8'-trideoxy-galactosucrose, is a high intensity sweetener that may be produced in accordance with the process disclosed in U.K. Patent No. 1,544,167, and U.S. Patent Nos. 5.138,031 and 5.498,709

[8824] In one embodiment, the film forming composition contains, based upon the total dry solids weight of the composition, from about 0.5 percent to about 20 percent, e.g. from about 1 percent to about 15 percent, from about 3 to about 9 percent, or from about greater than 5 to about 9 percent hydrocolloid such as carrageenan, and from about 75 percent to about 99.5 percent, e.g. from about 60 percent to about 99 percent, or from about 83 percent to about 95 percent sucretose.

[0025] These film forming compositions are typically in the form of a dispersion for ease of dip coating substrates therein or spraying substrates therewith. Such dispersions contain solvent in an amount, based upon the total weight of the dispersion, from about 70 percent to about 96 percent, for example, from about 78 percent to about 96 percent, or from about 80 percent to about 90 percent. Examples of suitable solvents include, but are not limited to water, alcohols such as methanol, attenual, and isopropanol, organic solvents such as methylene chloride, acetone, and the like, and mixtures thereof, in one embodiment, the solvent is water. The resulting film forming dispersion typically possesses a solidalleval of, based upon the total weight of the film forming dispersion, from about 1 percent to about 30 percent, e.g. from about 5 percent to about 22 percent, or from about 10 percent to about 20 percent.

[9026] In one embodiment, the film forming composition for dip coating contains, based upon the total wet weight of the dipping composition, from about 0.05 percent to about 5 percent, e.g. from about 0.1 percent to about 2 percent or from about 0.5 percent to about 1.5 percent to about 30 percent, e.g. from about 15 percent to about 30 percent, e.g. from about 15 percent to about 30 percent, e.g. from about 15 percent to about 30 percent.

[8827] In one particular embodiment, the hydrocolloid comprises, consists of, or consists essentially of a carrageenan.

[0028] Optionally, the film forming composition may further comprise other ingredients such as, based upon the total weight of the dipping solution, from about 0 percent to about 40 percent plasticizers, from about 0 percent to about 2 percent preservatives such as methyl paraben and ethyl paratien, from about 0 percent to about 14 percent opacifying agents such as titanium dioxide, and/or from about 0 percent to about 14 percent colorants. See Remington's Practice of Pharmacy, Martin & Cook, 17th ed., pp. 1625 - 30.

[9029] Any plasticizer known in the pharmaceutical art is suitable for use in the present invention, and may include.

but not be limited to polyethylene glycol; glycerin; sorbitel; inlethyl citrate; inlethyl amine; tribuyl citrate; dibulyl setworks, vegetable oils such as castor oil; surfactants such as polyeorbates, sodium lauryl sulfates, and dioctyl-sodium sulfo-suchates; propytene glycol; mono acetale of glycerol; diacetate of glycerol; triacetate of glycerol; natural gums and mixtures thereol.

[9030] Any coloring agent suitable for use in pharmaceutical application may be used in the present invention and may include, but not be limited to azo dyes, quinopthalone dyes, inphanylmathane dyes, xanthene dyes, indigoid dyes, iron oxides, fron hydroxides, titanium dioxide, natural dyes, and mixtures thereof. More specifically, suitable colorants include, but are not limited to patent blue V, acid brilliant gree BS, red 2G, azorubine, ponceau 4R, amerenth, D&C red 33, D+C red 22, D+C red 26, D+C red 26, D+C yellow 16, FD+C yellow 5, FD+C yellow 6, FD+C red 3, FD+C red 40, FD+C red 40, FD+C blue 1, FD+C blue 2, FD+C green 3, brilliant black BN, carbon black, fron oxide black, iron oxide red, fron oxide yellow, litanium dioxide, riboflavin, carotenes, entyhocyanines, turmenc, cochineal extract, clorophyllin, canthaxanthin, caramet, betanin, and mixtures thereof.

[9931] In one embodiment, the pharmaceutical dosage form is comprised of: a) a core, b) an optional first coating layer on the surface of the core comprised of a subcoating that substantially covers the core; and c) a second coating layer substantially covering the surface of the first coating layer, with the second coating layer comprised of the first forming composition of the present invention. As used herein, "substantially covers" shall mean at least about 95 percent of the surface area of the underlying substrate is covered by the given coating. For example, with respect to the first coating layer and the second coating layer, at least about 95% of the surface of the first coating layer is covered by the second coating layer.

(0032) In another embodiment, the pharmaceutical dosage form is comprised of: a) a core; b) an optional first coaling layer on the surface of the core comprised of a subcoating that covers a portion of the core; and c) a second coating layer that covers a portion of the surface of the first coating layer, with the second coating layer comprised of the film forming composition of the present invention. As used herein, "portion" shall mean a part of the dosage form having a surface area that is equal to or less than about 95 percent of the surface area of the underlying substrate.

[0033] In yet a further embodiment, the second coating tayer may be comprised of a plurality of coating portions. An example of this embodiment comprised of two coating portions is illustrated in FIG. 2, in which the dosage form 404 is coated with a first coating portion 412 and a second coating portion 413. Although the dosage form in FIG. 2 indicates that at least one of such portions is visually and/or chemically distinct from all least one other portion, it is conceived that one or more of the portions may be visually and/or chemically similar in nature. For example, each end of a tablet may be coated with dip coatings of different colors to provide a distinctive appearance for specially products. See United States Patent No. 4.820,524.

[0034] The core, or substrate, of the present invention may be a solid dosage form of any size or shape. Suitable cores include compressed or molded tablets, hard or soil capsules, confectionery based forms such as for example tozenges, nougats, or fondants, and the like. Cores are available in various shapes and configurations. For example, FIGS, 1A, 18 and 2 illustrate an oblong convex core 10 having an oblong shape and two rounded ends 122, 144, as viewed from the top, bottom or sides (sea FIGS, 1A and 1B). The oblong convex core 10 may also have two oppositally positioned convex surfaces 15, 15' and a raised portion therebetween, referred to as a land 20 (shown most clearly in FIGS, 1B and 2).

[0035] It is noted that the length of the obtong core 10 is an imaginary line (not shown per se, but which is commenpurate with a portion of the dotted line 16 that is within the core 10 shown in FIG. 18) which extends the distance between the ends 122, 144 of the obtong core 10. The height of the obtong core 10 is another imaginary line (not shown per se, but which is commensurate with a portion of the dotted line 18 that is within the core 10 shown in FIG. 18) which extends the distance between the two opposite convex surfaces 15, 15° of the core 10, midway of the length. The width of the obtong core is a third imaginary line (not shown per se, but which is commensurate with a portion of the dotted line 16 that is within the core 10 shown in FIG. 2) which extends the distance between opposite sides of the core 10, perpendicular to and midway of the core's length and height (and which may intersect the land 20 of the core 10, if present).

[9036] Any number of active agents may be contained in the desage form. The active agents may be contained in the core, in the optional first coating layer, and/or in the second coating layer. In one embodiment an active agent is contained in the core.

[9637] In an alternate embodiment, a first active agent may be contained in the first coating layer, and the core may contain a second active agent analor an additional amount of the first active agent. In yet another embodiment, the active agent may be contained in the first coating layer, and the core may be substantially free, i.e., contain less than about 1 percent, e.g. less than about 0.1 percent, of active agent.

[9038] The use of subcoatings is well known in the an and disclosed in, for example, United States Palent Nos. 3,185,626, which is incorporated by reference herein. Any composition suitable for film-coating a tablet may be used as a subcoating according to the present invention. Examples of suitable subcoatings are disclosed in United States Palent Nos. 4,883,296, 4,543,370, 4,643,894, 4,828,841, 4,725,441, 4,802,924, 5,630,871, and 6,274,162. Additional

suitable subcoatings include one or more of the following ingredients: cellulose ethers such as hydroxypropylmethylcellulose, hydroxypropylcellulose, and hydroxyethylcellulose; polycarbohydrates such as xanthan gum, starch, and mallodextrin, plasticizers including for example, glycerin, polyethylane glycol, propylane glycol, dibutyl sebecate, triethyl citrate, vegetable oils such as centor oil, surfactants such as polycorbate-80, sodium lauryl sulfate and dioctylsodium sulfosuccinate; polycarbohydrates, pigments, and opacifiers.

[9038] In one embodiment, the subcoating may be comprised of, based upon the total weight of the subcoated fablet, from about 2 percent to about 8 percent, e.g. from about 4 percent to about 6 percent of a water-soluble obtained either and from about 6.1 percent to about 1 percent castor oil, as disclosed in detail in United States Patent No. 5,658,589. In another embodiment, the subcoating may be comprised of, based upon the total weight of the subcoating, from about 20 percent to about 50 percent, e.g., from about 25 percent to about 40 percent of HPMC; from about 45 percent to about 75 percent, e.g., from about 50 percent to about 76 percent of mailtodextrin; and from about 1 percent to about 10 percent, e.g., from about 5 percent to about 10 percent of PEG 400.

[0040] The dried subcoating typically is present in an amount, based upon the dry weight of the core, from about 0 percent to about 10 percent, e.g. from about 0 percent to about 5 percent. The dried dip coating layer typically is present in an amount, based upon the dry weight of the core and the optional subcoating, from about 1.5 percent to about 10 percent.

[9941] The average thickness of the dried dip coating layer typically is from about 30 microns to about 400 microns. However, one skilled in the art would readily appreciate without undue experimentation that the dip coating throkness may be varied in order to provide a smoother, easier to swallow, dosage form or to achieve a desired dissolution profile. [9942] The thickness of getstin dipped film coatings often varies at different locations on the substrate depending upon the shape of the substrate. For exemple, the thickness of a getstin dipped coating at an edge or corner (see, e.g., edge 12 in FIG.1) of a substrate may be as much as 50 percent to 70 percent less than the thickness of that coating near the center of a major face of the substrate (see, e.g. face 15 in FIG.1). However, coatings comprised of the composition of the present invention have relatively less variance in theckness when applied via dip coating to a substrate.

[0043] In one embodiment, the exterior layer or "shell" of the present invention advantageously possesses a high surface gloss. The surface gloss of the shell and/or the exterior surface of the dosage form is preferably at least about 150 gloss units, e.g. at least about 175 gloss units, or at least about 190 gloss units when measured by the method set forth in Example 8 herein.

[9644] The film forming compositions of the present invention may be prepared by combining, based upon the total amount of sucretose, from about 80 % to about 99% of the sucretose and the cationic metal-containing compound such as potassium or cateium setts, in water with mixing at a temperature of about 75 °C to about 80 °C, wherein the water is used in an amount sufficient to dissolve the sucretose. While maintaining constant temperature and mixing, the remainder of the sucretose (approximately equal to the amount of the hydrocolloid) and the hydrocolloid are added thereto. In an alternative embodiment, the remainder of the sucratose and the hydrocolloid may first be combined with mixing under emblent conditions until the resulting mixture is homogeneous, then this preblend may be added to the cellen solution, either before or after the addition of the remaining portion of the sucratose. Any optional ingredients may then be added to the resulting mixture at constant mixing.

[0045] Surprisingly, substrates may be dipped into such film forming compositions of the present invention using the same equipment and range of process conditions as used for the production of dip molded, getatin-coaled capsules and tablets, with the exception of dipping solution temperature. Typically, the dip-coating solution of the present invention is both healed and mixed during the dipping process. Suitable temperature of the dipping solution is from about 20°C to about 150°C, e.g. from about 40°C to about 80°C, or from about 55°C to about 65°C. Dipping solution temperature may be varied within these ranges by increasing or decreasing the cationic strength of the solution, e.g. higher temperatures are required at higher cationic strength, while lower dipping temperatures are suitable at lower cationic strengths. Details of such equipment and processing conditions are well-known in the art and are disclosed at, for example, United States Patent No. 4,820,524 (caplets) and WO 00/18835 (capsules).

[9046] The tablets coated with the film forming composition of the present invention may contain one or more active agents. The term "active agent" is used herein in a broad sense and may encompass any material that can be carried by or entreined in the system. For example, the active agent can be a pharmaceutical, rutraceutical, vitamin, dietary supplement, nutrient, herb, foodstuff, dyestuff, nutritional, mineral, supplement, or favoring agent or the like and combinations thereof.

[9847] The active agents useful herein can be selected from classes from those in the following therapeutic categonies: ace-inhibitors; alkatoids; antacids; analgesics; anabolic agents; anti-anginal drugs; anti-aftergy agents; anti-arrhythmia agents; antiasthmatics; antibiotics; articholesterolemics; anticonvulsants; anticoagulants; antidepressants; anticiarrheal preparations; anti-emetics; antihistamines; antihypertensives; anti-infectives; anti-inflammatories; antilipid agents; antimanics; anti-migraine agents; antinauseants, antipsychotics; antistroke agents; antithyroid preparations; anabolic drugs; antiobesity agents; antiparasitics; antipsychotics; antipyrelics; antispasmodics; antithyroidssics;

antitumor agents, antitussives, antituter agents, anti-uricemic agents, anxiciytic agents, appetite stimulants, appetite suppressants; bese-blocking agents; bronchodilistors; cardiovascular agents; berebral dilators, chelating agents; cholecyclekinin antagonials, inhemotherapeutic agents; cognition activators; contraceptives; coronary dilators; cough suppressants; decongestants; deodorants; dermatological agents, dilatostes agents; diluratics; emollients; enzymes; erythropoletic drugs; expectionants; fertility agents; fungicides; gastrointestinal agents; growth regulators; hormone replacement agents; hyperglycemic agents; hypoglycemic agents; ion-exchange resins; laxaltives; migraine treatments, mineral supplements, mucolytics, narcotics; neuroleptics; neuromuscular drugs, non-steroidal antitrifiammatory drugs (NSAIDs); nutritional additives; peripheral vasodilators; polypeptides; prostaglandins; psychotropics; renin inhibitors; respiratory stimulants; sedatives; steroids; stimulants; sympatholytics; thyroid preparations; tranquilizers; uterins relaxants; veginal preparations; vasoconstrictors; vasodilators; vertigo agents; vitamins, wound healing agents; and others.

[0048] Active agents that may be used in the invention include, but are not limited to: acetaminophen; acetic acid: acetylsalicytic acid, including its buffered forms, acrivastine; albuterol and its sulfate, alcohol; alkaline phosphatase; sitantoin; atoe; eluminum acetate, carbonate, chlorohydrale and hydroxide; alprozolam; amino acide; aminobenzoic acid: amoxicillin; ampicillin; amsacrins; amsalog; anethola; ascorbic acid; aspartama; astamizola; atenciol, azalidina and its maleate; bacitracin; balsam peru; BChiU (carmusline); beckemethasone diproprionate; benzocaine; benzoic acid, benzophenones, benzoyl peroxide; benzquinamide and its hydrochloride; bethanachol; biotin; bisacodyl; bismuth subsaticytate; bornyt acetate; bromopheniramine and its maleate; buspirone; caffeine; calamine; calcium carbonate; casinate and hydroxide, camphor, captoprii, cascara sagrada, castor oil, cefacior, cefadroxii, cephalexin, centrizine and its hydrochloride; cetifizine; cetyl alcohol, oatylpyridinium chlorida; chelated minerals; chloramphenicol; chloroyclizine hydrochloride; chlorhexidine gluconata, chloroxylenol; chloroperitostatin; chlorpheniramine and its maleates and tannates; chiorpromazine; cholestyramine resin; choline bitartrete; chondroganic stimulating protein; cimetidine; connemerorus hydrochloride; citalopram; citrio acid; clarithromycin; clamastine and its fumarate; cionidina; clorfibrate; occoa butter, cod fiver oil, codeine and its fumerate and phosphate, cortisone acetale; diprofloxacin HCI; cyanocobalemin, cyclizine hydrochlonde; cyproheptadine; dentitron, dexbromopheniramine maleate; dextromethorphan and its hydronalides, diszepam, dibucaine, dichioralphenazone, diciolan and its alkali metal sales, diciolenac sodium, digoxin; dihydroergotamine and its hydrogenates/mesylates; ditiazem; dimethicone; dioxybenzone; diphenhydramine and its clinate, dighenhydramine and its hydrochlonde; divalproex and its alkali melal salts; docusate calcium, potassium, and sodium, dozycychne hydrate, doxytamine succinate, dronabinol, sfaroxan, snatapril; enoxacin, ergotamine and its targratia: erythromycan, estropipate, ethinyl estrediol, sphedrine, spinaphrine bitartrate; erythropoletiin, sucalyptot, famoliding: fenocrofen and its metal saits, ferrous fumarate, gluconate and sulfate; fexofenadins; flucoetine; folio acid; Apphenytoin; 5-Buorouracii (5-FU); fluoxetine; flurbiprofen, furosemide; gabepentan; gentamicin; gemfibrozii; glipizide; dividence; givident stearate; granisetron; griseofulvin; growth hormone, guafanesin; hexylresordindi; hydrochidrothiazids; hydropodone and its tertrates; hydroponisone and its acetate; 8-hydroxyquinoline sulfate; hydroxyzine and its pamoale and hydrochloride salts; ibuprofen; indomethipcin; inositoi; insulin; iodine; ipecac; iron; isosorbide and its monty and dinitrates; isoxicam; kelamine; kacim; ketoprofen; lactic acid, tanolin; lactihin; lauprolide acetate; lidocaine and its hydrochloride salt, liftnoprii, liotrix, loperamide, toraladine; lovastatin, lutelnizing hormors; LHRH (lutenizing hormone replacement hormone); magnesium carbonate, hydroxide, salicylate, and trisilicate; medizine; meterramic acid; mediofenamic acid, mediofenamate sodium; medioxyprogesterone acetate; matiiznamine mandetate; mentholi, meperidine hydrochloride; metaproterenol sulfate; methscopolamine and its nitrates; methaergide and its maleate; methyl ricofinale; methyl salloylate; methyl cellulose, methsuximide; metoclopramide and its halldesthydrates; metronicazole; metoprotol tartrate; miconazole nitrate; mineral oii, minoxidii, morphine; naproxen and its alkali metal sodium salts; nifedipine; neomycin sulfate; niacin; niacinamide; nicotine; nicotinamide; nimesulide; nitroglycerine; nonoxynoi-9, norelihindrone and its acetate; nystatin; octoxynol; octoxynol-8; octyl dimethyl PABA; octyl methoxycinnamate; omega-3 polyunsaturated fatty acids; omeprazole; ondansetron and its hydrochloride; oxcilinic acid; oxybenzone; oxtriphylline; para-eminobenzoio acid (PASA); padimate-O; paramethissiona; pentastatin; peppermint cii; pentasrythritol tetranitrate; pentobarbital sodium; perphanazine; phanalzine sulfale; phanindamine and its tertrate; phaniramine maleata; phenobarbital; phenol, phenolphthatein, phenylephrine and its tannates and hydrochlorides; phenylpropanolamine, phenytoin; pirmenot, giroxicam and its salts; polymicin 8 sulfate; potaesium chloride and nitrate; prazepam; proceinsmide hydrochloride, procederal, promethazine and its hydrochloride, propoxyphene and its hydrochloride and hapsylate: praminacetin; pramoxine and its hydrochloride sait; prochiciperazine and its maleate; propanoiol and its hydrochloride; promethizine and its hydrochloride; proparolot; pseudoephedrine and its sulfates and hydrochlorides; pyridoxine; pyrolamine and its hydrochlorides and tannates; quinapril; quinidine gluconate and sulfate; quinestrol; rafitoline; ranitadine; resorcinot; riboflevin; salicytic acid; soppolamine; sesame oil; shark liver oil; simethicone; sodium bicarbonate, citrate, and fluoride, sodium monofluorophosphale, sucraffate, suffanethoxazole; suffasalazine, suffur, sumatripten and its succinate; tacrine and its hydrochloride; theophylline; terfenadine; thiethylperazine and its maleate; timolo) and its maleate, thioperidone, tramado), trimetrexate, triazolam; tretinoin, tetracycline hydrochloride, tolmetin, toinaftate; triclosan; trimethobenzamide and its hydrochloride; tripelennamine and its hydrochloride; tripelidine hydro-

chloride; undecylenic acid; vencomycin; verepamil HCI; vidaribine phosphate; vitamine A, B, C, D, B<sub>1</sub>, B<sub>2</sub>, B<sub>3</sub>, B<sub>12</sub>, E. and K; which hazet, sylometazonne hydrochloride, zinc; zinc sulfate; zinc undecylenate. Active agents may further include, but are not limited to lood acids, insoluble metal and mineral hydroxides, carbonates, oxides, polycarbophilis. and salts thereof, adeorbates of active drugs on a magnesium trisilicate base and on a magnesium aluminum silicate base, and mixtures thereof. Mixtures and pharmaceutically acceptable selfs of these and other actives can be used. [0049] We have unexpectedly found that the coatings formed by dipping substrates into the compositions of the present invention possess excellent properties comparable to those possessed by gelatin coalings, # 9. Crack resistance, hardness, thickness, color uniformity, smoothness, and gloss. Additionally, tablets dip coated with the compositions of the present invention are superior to tablets dip coaled with conventional galatin-based coatings in several important ways. First, tablets dip coaled with the compositions of the present invention advantageously retain acceptable dissolution characteristics for the desired shelf-life and storage period at elevated temperature and humidity condisons. Advantageously, the compositions of this invention possess a relatively shorter setting time relative to that of gelatin-containing compositions. Beneficially, the resulting dried coatings therefrom contained fewer air bubbles relative to the amount present in dried, getatin based dipping compositions, and possessed a relatively more uniform coating thickness, i.e., the thickness at the tablet edges 12 is comparable to that at the face 15 as shown in the tablet 10 isustrated in FIG. 1. In addition, the dip coated compositions of the present invention possessed a gloss measurement of greater then 150 gloss units, for example greater than 190 gloss units, which is a higher degree of glossiness relative to similar coatings applied via spray coating method known in the art. See United States Patent No. 6,274,162.

[0050] A further advantage of the film-forming compositions of the present invention is that the resulting costed pharmaceutical has a sweet teste without the inclusion of sugar. Not only will this improve a patient's compliance with taking the prescribed pharmaceutical, but also it will not promote tooth decay or increase caloric intake like sugar coated products. Moreover, the sugar-free coating is especially suitable for diabetic users and those restricting sugars from their diets, in addition, sugar coatings disadventageously are relatively less stable then sucretose coatings, and thus often react with other components in the coating and discolor. Yet further, the sucretose coatings of the present invention do not provide a nutritional source for potential microbial contamination as do sugar coated products.

[0051] We have further unexpectedly found that the combination of a polysochande hydrocolloid, such as carrageerian, and sucralose, which is not a film forming component, forms an effective film coating on substrates in the substratial absence of a film former or strengthening polymer, e.g., celluloses, starches, pullulan, polyvinylpymolidone, derivatives thereof, and mixtures thereof. Examples of such celluloses include, but are not limited to, hydroxypropylmethylceslulose, microcrystalline cellulose, hydroxypropylceslulose, athyliceslulose, bellulose actests, and mixtures thereof. By "substantial absence" it is meant less than, based upon the total weight of the film forming composition, 1%, e.g., less than 0.5% or less than 0.1% or from about 0.01% to about 1%. The substantial absence of such film formers and strengthening polymers in the coating beneficially improves the ability of the coating to immediately release the active coated therewith to embodiments wherein controlled, prolonged, delayed, extended, or sustained release is of importance, such film formers or polymers may be added to the coating in an amount, based upon the total dry weight of the coating composition, from about 5% to about 95% percent, e.g. from about 20% to about 75%.

[9052] The invention illustratively disclosed herein suitably may be practiced in the absence of any component, ingradient, or step which is not specifically disclosed herein. Several examples are set forth below to further illustrate the nature of the invention and the manner of carrying it out. However, the invention should not be considered as being limited to the details thereof.

### EXAMPLES

# 1. Film-Forming Property Analysis of Sucralose

[9083] A 10% solution of sucratose in water was prepared, then poured into in a 3-inch glass Petri dish such that the solution was about 2 mm deep therein. The solution was then dried in an oven at 70 °C for about 6 hours. The resulting dried material adhered to the glass dish, but could not be pasted off as a film. The material also crumbled when scraped. These results indicated that sucratose alone will not form a film of acceptable physical properties, e.g., tensile strength, ptasticity, hardness.

## 2. Preparation of a Sucralose-Carrageenan Solution

55 (0084) A dipping solution having the components set forth below was prepared as follows:

Purified Water	100 g
Potessium Chloride crystals	0.400 g
Kappa-carregeenan *	1.000 g
Suraiose **	10.00 g
FDAC Red No. 40	0.090 g
Transym diskirte	0.500 g

<sup>\*</sup> Kappe naragernan was grade "GF-5 NNP", colaises bom FWC Corposition

(0055) Sucreiose and Kappa-carrageenan were pre-blended using a mortar and peatle.

[0056] In a separate container, potassium chloride was added to the water with stirring at a temperature of 75 °C unsil the potassium chloride was dissolved therein. After increasing the temperature of the resulting solution to 90°C, the sucratose-kappa-corrageerian pre-blend was gradually added thereto with mixing using an electric mixer (Janke and Konket, IKA Labortechnik, Staufen, Germany) with propeller blade at a rate of approximately 700 rpm until homogeneous. After the mixing was discontinued, the solution was then cooled. At a temperature of about 50°C, the solution began to get. After reheating the solution to a temperature of 80°C, the Red No. 40 and titanium dioxide were added thereto with mixing at 600 rpm until homogeneous. The solution was then recirculated through a shallow dish and heated to maintain a temperature of 60°C.

[9087] A portion of the above solution was poured into a 3-inch aluminum tray such that the depth of the solution in the dish was about 2 mm. The sample was then dried in an over at 70 °C for about 6 hours. The resulting dried solution material adhered to the glass dish, and was pealed off as one cohesive circular film approximately 2-inches in diameter (after some shrinkage). The film possessed acceptable tensile strength, hardness and plasticity, as well as surprisingly high transparency.

## 3. Preparation of a Sucratose-Carrageenan Solution

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[0068] A dipping solution having the components set forth below was prepared as follows:

Dipping s	olution ingredients:	
	Purdied Weter	80 g
\$	Potassium Chloride crystals	0.350 g
	Xappa-carageenan *	0.500 g
	Sucratose **	15.00 g
	Yellow color dispersion***	0.090 g

<sup>\*</sup> Kappa garrapsanan was grada 15P-9119P\* obtained from PMC Corporation

(0059) Sucraiose and Kappa-carrageenan were pre-blended using a mortar and peatle.

[9666] In a separate container, potassium chioride was added to the water with stirring at a temperature of 80 °C until the potassium chloride was dissolved therein. White maintaining a constant temperature, the sucretose-Kappa-carrageenan pre-blend was gradually added thereto with mixing using the mixer of Example 2 at a rate of about 800 rpm. The yellow color dispersion was then added thereto with constant mixing until homogeneous. The resulting solution was then recirculated through a shallow vessel while maintaining constant temperature.

## 4. Dip Coating Substrates With a Sucratose-Carrageanan Dispersion

[9961] Subcosted ecetaminophen caplets, which were prepared according to the method diadosad in United States Patent No. 8,214,360 (Example 1, steps A through H), which is incorporated by reference herein, were hand-dipped into the solution prepared in Example 2 at a temperature of 50°C, then the coated caplets were dried for 10 minutes under ambient conditions.

[0062] The resulting dried dipped labilets possessed a hard, non-tacky coating with a high gloss, a smooth surface, and an even color distribution. No bubbles were visually observed. The labilet edges were also well-covered.

<sup>\*\*</sup> Sugresse was optained from McReil Specially Freducit Company

<sup>\*\*</sup> Suggestive was optioned from McNet Sciencely Products Company

<sup>\*\*\*</sup> Visiting open dispersion was "Opaled 8 No. DOZ125" offering from Coloron, Inc.

### 5. Dip Coating Substrates With a Sucratose-Carrageenan Dispersion

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[0063] The procedure set forth in Example 4 was repeated with additional subcoated acetaminophen capters, but using the solution prepared in Example 3.

[6064] The resulting direct dipped tablets possessed a hard, non-tacky coating with a lower gloss relative to that of the caplets coated with the solution of Example 2. The direct dipped tablets possessed a smooth surface and an even opior distribution. No bubbles were visually observed. The tablet edges were also well-covered.

# Preparation of Sucralose-Kappa Carrageenan Dispersion, and Tablets coated therewith.

(8065) A dipping solution having the components set forth below was prepared as follows:

 Purified Water	890.5 g
Potassium Chloride crystels	4 g
Kappa-carrageenin *	5 g
Sucraiose **	100 g
Yellow No. 10 Dye (FO&C)	0.5 g

<sup>\*</sup> Kappa corresponde was grade "GP-9116F", obtained from FMC Corporation

[8086] Sucretose and carrageenan were pre-blended using a mortar and pastia.

[9967] In a separate container, potassium chloride was added to the water at a temperature of 80°C, and slowly mixed until the potassium chloride was dissolved therein. The sucratose and carragement blend was then added to the potassium chloride solution with vigorous mixing (approximately 700 rpm) using the electric mixer of Example 2. The resulting mixture formed a uniform dispersion without clumps. While the mixture was cooling, the Yellow No. 10 Dye was added with continued mixing. The resulting solution was then recirculated through a shallow vessel (using a peristallic pump at 60 g/minute) while maintaining constant temperature of about 62°C.

[0068] The dipping procedure set forth in Example 4 was then repeated with additional subcoated acetaminophen caplets, but using the solution prepared in the present Example.

(0069) The resulting dried dipped tablets possessed a hard, non-tacky coating, a smooth surface and an even color distribution. The tablet edges were also well-covered.

# 7. Preparation of Sucratose-lota/Kappa Carrageenan (mixture) Dispersion, and Tablets coated therewith.

[0070] A dipping solution having the components set forth below was prepared as follows:

Furified Water	890.5 g
Potassium Chlonde crystals	29
Calcium Chloride crystals	1.5 g
Kappa-camageenan *	59
ota-carrageenan***	5.9
Sucratose *	100 g
Yellow No. 10 Dye (FD&C)	0.25 9

<sup>\*</sup> Kappa carrageenan was grade "GP-911NF", ontained from FMC Corporation

(9671) A dip-positing solution was prepared from the above ingredients according to the manner described in Example 6. The dipping procedure set forth in Example 4 was then repeated with additional subcoated acataminophen captets, but using the solution prepared in the present Example. This dipping process resulted in a weight gain of approximately 80 mg per tablet (40mg per half tablet).

### Example 8) Surface Gloss Measurement of Coated Tablets

[9072] One (1) tablet made according to Example 4 and one (1) other tablet made according to Example 5 were tested for surface gloss using an instrument evaluable from TriCor Systems Inc. (Eigin, IL) under the tradename. \* Tri-Cor

Surrective was obtained from MoVer Specially Products Company

<sup>\*\*</sup> Survivose was obtained here McNeil Specially Products Company

<sup>\*\*\*</sup> loss carregeignen was Marine College, Greek \* GP-379NF,\* obtained from FMC Companion

Model 908A/809H Surface Analysis System" and generally in accordance with the procedure described in "TriCor Systems WGLOSS 3.4 Model 805A/809H Surface Analysis System Reference Manual" (1998), which is incorporated by reference herein, except as modified below.

[0073] This instrument utilized a CCO camera detector, employed a flat diffuse light source, compared tablet samples to a reference standard, and determined average gloss values at a 60 degree incident angle. During its operation, the instrument generated a grayscale image, wherein the occurrence of brighter pixels indicated the presence of more gloss at that given location.

[0074] The instrument also incorporated softwere their utilized a grouping method to quantify gloss, i.e., pixels with similar brightness were grouped together for averaging purposes.

[0075] The "percent full scale" or "percent ideal" setting (also reterred to as the "percent sample group" setting), was specified by the user to designate the portion of the brightlest pixels above the threshold that will be considered as one group and averaged within that group. "Threshold", as used herein, is defined as the maximum gloss value that will not be included in the average gloss value calculation. Thus, the background, or the non-glossy areas of a sample were excluded from the average gloss value calculations. The method disclosed in K. Fegley and C. Vesey. "The Effect of Tablet Shape on the Perception of High Gloss Film Coating Systems", which is available at <a href="https://www.color.com/assort/18">www.color.com/assort/18</a> March, 2002 and incorporated by reference herein, was used in order to minimize the effects resulting from different tablet shapes, and to report a metric that was comparable across the industry (Salected the 50% sample group setting as the setting which best approximated analogous data from tablet surface roughness measurements.).

[0076] After initially calibrating the instrument using a calibration reference plate (190-228; 294 degree standard no mask, rotation (), depth ()), a standard surface gloss measurement was then created using get-coated captels available from McNEIL-PPC, Inc. under the tradename, "Extra Strength Tylenol Getcaps." The average gloss value for a sample of 112 of such get-coated captels was then determined, while employing the 25 mm full view mask (190-290), and configuring the instrument to the following settings:

Rotation: 0 Depth: 0.25 inches Gloss Threshold: 95 % Pull Scale: 50% Index of Refraction: 1.57

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[9677] The average surface gloss value for the reference standard was determined to be 269.

[0078] Each sample of coated tablets was then independently tested in accordance with the same procedure.

[0079] A 2-teblet sample prepared according to the method of Example 4 possessed an average surface gloss of 211 gloss units.

[0080] Additional samples of other, commercially evallable gel coated tablets were also tested in accordance with the same procedure and compared to the same standard. The results are summarized in Table L below.

Table U:

Product	Motrin IS * Caplet (white)	Excedrin' ' Aspirin free Caplets (red)	Escedrin " Migraine Gaitab (grean side)	Excedrin " Migraine Geltab (white side)	Extra Strength Tylenc! Geltabs ' (yellow side)	Extra Strength Tylenol Geltaba*(red side)
Type of coating	sprayed film	sprayed film	gelatin enrobed	enrobed gelatin	doped	dipped
No, of tablets tested	41	40	30	10	112	
Gloss Value (gloss units)	128				288	288

<sup>\*</sup> Avaisable from MoNESL PPC, Inc.

[9081] This Example showed that the tablets coated with the compositions of the present invention possessed a high

<sup>&</sup>quot; Available from Bristol Myers, Squibb, Inc.

surface gloss value (e.g. 211 gloss units in this example) that was comparable to that possessed by commercially -available galatin coated tablets, in contrast, typical aprayed films possessed a substantially lower surface gloss, e.g. 119 to 125 gloss units in this Example.

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#### Claims

- 1. A film forming composition comprised of:
  - a) carrageonan; and
    - b) sucraiose
- The composition of claim 1, wherein the composition is substantially free of a film former or strengthening polymer.
- 3. The composition of claim 1 or claim 2, wherein the composition is comprised of, based upon the total dry weight of the composition.
  - a) from 0.5 percent to 20 percent of carragesnan; and
  - b) from 75 percent to 99.5 percent of sucreiose.

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# greferably

- a) from 5 percent to 9 percent of carragreenant and
- b) from 83 percent to 95 percent of sucralose.

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- 4. The composition of any one of claims 1 to 3, wherein the carragement is keppa carragement.
- A pharmaceutical dosage form comprising an outer coating, said outer coating comprising the composition of any one of claims 1 to 4.

- 6. The dosage form of claim 5 comprising a second nuter coating which is visually distinct from the first outer coating.
- A descape form comprising a core, a subcoating substantially covering said core, and an outer coating substantially
  covering said subcoating, wherein the outer coating is comprised of the composition of any one of claims 1 to 4.

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- 8. The dosage form of any one of claims 5 to 7 having a surface gloss of at least about 150 gloss units.
- The dosage form of any one of claims 6 to 8 comprising an effective amount of a pharmaceutical active ingredient, wherein said dosage form meets USP dissolution requirements for immediate release forms of said pharmaceutical active ingredient.
  - 10. A method of making coefed tablets comprising dip coaling tablets with an aqueous dispersion comprising the composition of any one of claims 1 to 4 and a solvent.

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FIG. 1A

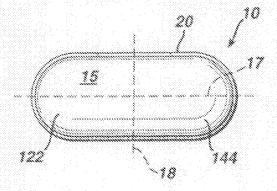
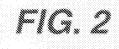
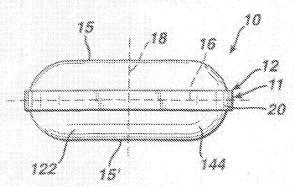


FIG. 1B





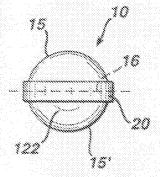


FIG. 3

